



The Saskatchewan Gazette

PUBLISHED WEEKLY BY AUTHORITY OF THE QUEEN'S PRINTER/PUBLIÉE CHAQUE SEMAINE SOUS L'AUTORITÉ DE L'IMPRIMEUR DE LA REINE

PART II/PARTIE II

Volume 109

REGINA, FRIDAY, APRIL 26, 2013/REGINA, VENDREDI, 26 AVRIL 2013

No. 17/n° 17

PART II/PARTIE II

REVISED REGULATIONS OF SASKATCHEWAN/ RÈGLEMENTS RÉVISÉS DE LA SASKATCHEWAN

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January 11, 2013

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Amendment Regulations, 2013 (No. 2)* SR 24/2013

REVISED REGULATIONS OF SASKATCHEWAN

SASKATCHEWAN REGULATIONS 23/2013

The Assessment Management Agency Act

Subsections 38(1) and (2)

Order in Council 220/2013, dated April 18, 2013

(Filed April 18, 2013)

Title

1 These regulations may be cited as *The Assessment Management Agency Amendment Regulations, 2013*.

R.R.S. c.A-28.1 Reg 1, new section 3.5

2 Section 3.5 of *The Assessment Management Agency Regulations* is repealed and the following substituted:

“Payment schedule for the Government of Saskatchewan

3.5 For the purpose of subsection 18.01(6) of the Act, the Minister of Finance shall pay \$10,087,000 to the agency in the 2013-2014 fiscal year of the Government of Saskatchewan”.

Coming into force

3 These regulations come into force on the day on which they are filed with the Registrar of Regulations but are retroactive and are deemed to have been in force on and from April 1, 2013.

SASKATCHEWAN REGULATIONS 24/2013*The Securities Act, 1988*

Section 154

Commission Order, dated March 11, 2013

and

Minister's Order, dated April 9, 2013

(Filed April 18, 2013)

Title

1 These regulations may be cited as *The Securities Commission (Adoption of National Instruments) Amendment Regulations, 2013 (No. 2)*.

R.R.S. c.S-42.2 Reg 3, Part XLVIII of Appendix amended

2(1) Part XLVIII of the Appendix to *The Securities Commission (Adoption of National Instruments) Regulations* is amended in the manner set forth in this section.

(2) The following Part is added after Part 4A:**“PART 4B APPLICATION TO BECOME A DESIGNATED RATING ORGANIZATION****“4B.1 Specified jurisdiction**

For the purposes of this Part, the specified jurisdictions are British Columbia, Alberta, Saskatchewan, Manitoba, Ontario, Québec, Nova Scotia and New Brunswick.

“4B.2 Principal regulator – general

Subject to sections 4B.3 to 4B.5, the principal regulator for an application by a credit rating organization to become a designated rating organization is:

- (a) the securities regulatory authority or regulator of the jurisdiction in which the head office of the credit rating organization is located;
- (b) if the head office for a credit rating organization is not in a jurisdiction of Canada, the securities regulatory authority or regulator of the jurisdiction in which the largest branch office of the credit rating organization is located; or
- (c) if neither the head office or a branch office of the credit rating organization is located in a jurisdiction of Canada, the securities regulatory authority or regulator of the jurisdiction with which the credit rating organization has the most significant connection.

“4B.3 Principal regulator - head office not in a specified jurisdiction

Subject to section 4B.5, if the jurisdiction identified under section 4B.2 is not a specified jurisdiction, the principal regulator for the application is the securities regulatory authority or regulator of the specified jurisdiction with which the credit rating organization has the most significant connection.

“4B.4 Principal regulator - designation not sought in principal jurisdiction

Subject to section 4B.5, if a credit rating organization is not seeking to become a designated rating organization in the jurisdiction of the principal regulator, as determined under section 4B.2 or 4B.3, as applicable, the principal regulator for the designation is the securities regulatory authority or regulator in the specified jurisdiction:

- (a) in which the credit rating organization is seeking the designation; and
- (b) with which the credit rating organization has the most significant connection.

“4B.5 Discretionary change of principal regulator for application for designation

If a credit rating organization receives written notice from a securities regulatory authority or regulator that specifies a principal regulator for the credit rating organization’s application, the securities regulatory authority or regulator specified in the notice is the principal regulator for the designation.

“4B.6 Deemed designation of a credit rating organization

(1) If an application to become a designated rating organization is made by a credit rating organization in the principal jurisdiction, the credit rating organization is deemed to be a designated rating organization in a local jurisdiction if:

- (a) the local jurisdiction is not the principal jurisdiction for the application;
- (b) the principal regulator for the application designated the credit rating organization and that designation is in effect;
- (c) the credit rating organization that applied to be designated gives notice to the securities regulatory authority or regulator that this subsection is intended to be relied upon for the designation in the local jurisdiction; and
- (d) the credit rating organization complies with any terms, conditions, restrictions or requirements imposed by the principal regulator as if they were imposed in the local jurisdiction.

(2) For the purpose of paragraph (1)(c), the credit rating organization may give the notice referred to in that paragraph by giving it to the principal regulator”.

(3) Appendix D is amended:**(a) by striking out the following:**

“

Marketplace operation	NI 21-101 (only Parts 6, 7 - 11, as they apply to an ATS, and 13)
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”

and substituting the following:

“

Marketplace operation	NI 21-101 (only Parts 3, 4, 7,8,11 and 13 and sections 5.1 (1), 5.1(2), 5.9, 5.10, 6.1, 6.2, 6.3, 6.7, 6.9 and 6.11, as those parts and sections apply to an ATS)
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”;

(b) by adding the following:

“

Electronic trading	NI 23-103 (only sections 3(1), 3(2), 3(3)(a) to 3(3)(d), 3(4) to 3(7), 4 and 5(3))
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”

after:

“

Use of client brokerage commissions	NI 23-102
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”; and

(c) by adding the following

“

Designated rating organizations	NI 25-101
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”

after:

“

Institutional trade matching and settlement	NI 24-101
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”.

Coming into force

3 These regulations come into force on the day on which they are filed with the Registrar of Regulations.

