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PART II/PARTIE II

REVISED REGULATIONS OF SASKATCHEWAN/ RÈGLEMENTS RÉVISÉS DE LA SASKATCHEWAN

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REVISED REGULATIONS OF SASKATCHEWAN

SASKATCHEWAN REGULATIONS 76/2006

The Securities Act, 1988

Section 154

Commission Order, dated July 28, 2006

(Filed August 1, 2006)

Title

1 These regulations may be cited as *The Securities Commission (Adoption of National Instruments) Amendment Regulations, 2006*.

R.R.S. c.S-42.2 Reg 3, Appendix amended

2(1) Part XXXIX of the Appendix *The Securities Commission (Adoption of National Instruments) Regulations* is amended in the manner set forth in these regulations.

(2) Clause (a) of the definition of “principal regulator” in section 2 is repealed and the following substituted:

“(a) for a firm filer, the securities regulatory authority or regulator of the jurisdiction in which the firm filer’s head office is located”.

(3) Section 2.3 is repealed and the following substituted:

“2.3 Notice of change If a firm filer changes its head office to another jurisdiction, the firm filer must immediately notify its principal regulator of the change by submitting a completed Form 31-101F2”.

(4) Item 3 of Form 31-101F1 is repealed and the following substituted:

“3. Reasons for Designation of Principal Regulator State here the location of firm filer’s head office”.

(5) Form 31-101F2 is amended:

(a) by repealing Item 1 of “General Instructions” and substituting the following:

“General Instructions

1. This Form must be submitted by a firm filer to notify its principal regulator if a firm filer changes its head office to another jurisdiction”; **and**

(b) in Item 2, entitled “Details of Change”, by striking out “the factors considered by the firm filer to determine the jurisdiction with which the firm filer has the most significant connection” and substituting “the head office”.

Coming into force

3(1) Subject to subsection (2), these regulations come into force on August 1, 2006.

(2) If these regulations are filed with the Registrar of Regulations after August 1, 2006, these regulations come into force on the day on which they are filed with the Registrar of Regulations.

