



# The Saskatchewan Gazette

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#### PART II/PARTIE II

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# PART II/PARTIE II REVISED REGULATIONS OF SASKATCHEWAN/ RÈGLEMENTS RÉVISÉS DE LA SASKATCHEWAN

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#### REVISED REGULATIONS OF SASKATCHEWAN

#### SASKATCHEWAN REGULATIONS 10/2005

The Land Titles Act, 2000

Section 187

Order in Council 91/2005, dated February 15, 2005

(Filed February 16, 2005)

#### Title

- 1 These regulations may be cited as *The Land Titles Amendment Regulations*, 2005. R.R.S. c.L-5.1 Reg 1 amended, new section 96.1
  - 2 The Land Titles Regulations, 2001 are amended by adding the following section after section 96:

#### "Provision of grant data

- **96.1**(1) For the purposes of this section, 'grant data' means all electronic grant data that form part of the publicly available land titles registry in relation to every Crown grant within the meaning of clause 2(l)(l) of the Act, including the name of the grantee and the legal land description of the land included in each grant.
- (2) The corporation may disclose grant data for use in any project to commemorate or celebrate Saskatchewan's centennial year, including the creation of an electronic map integrating the grant data and the Saskatchewan rural municipality maps for distribution and sale by any party to whom the information is disclosed.
- (3) Grant data provided pursuant to subsection (2) may be used:
  - (a) only for a purpose described in subsection (2); and
  - (b) only in strict compliance with any contractual or licensing obligations imposed by the corporation on the recipient of the information in order to limit the use and prevent further disclosure, distribution or sale of the information.
- (4) No person shall use, disclose, distribute or sell grant data obtained pursuant to subsection (2) in contravention of subsection (3)".

#### Coming into force

**3** These regulations come into force on the day on which they are filed with the Registrar of Regulations.

#### SASKATCHEWAN REGULATIONS 11/2005

#### The Saskatchewan Medical Care Insurance Act

Sections 12 and 48

Order in Council 92/2005, dated February 15, 2005

(Filed February 16, 2005)

#### Title

1 These regulations may be cited as *The Medical Care Insurance Beneficiary and Administration Amendment Regulations*, 2005.

#### R.R.S. c.S-29 Reg 13 amended

**2** The Medical Care Insurance Beneficiary and Administration Regulations are amended in the manner set forth in these regulations.

#### Section 3 amended

- 3 Section 3 is amended:
  - (a) by adding the following clause after clause (b):
  - "(b.1) a person whose principal place of residence is in Saskatchewan and who proves to the satisfaction of the minister that, during a year:
    - (i) he or she is physically present in Saskatchewan on different occasions but not for the period of six months required by clause (b);
    - (ii) the nature of his or her employment requires travel from place to place outside Canada, but his or her absence from Saskatchewan is not for the purpose of accepting a contract or series of contracts of employment outside Canada; and
    - (iii) he or she has not established residence outside of Saskatchewan;

is a resident during the periods in that year in which he or she is outside Saskatchewan":

- (b) in clause (c) by striking out "is a resident during his absence from Saskatchewan" and substituting "and who proves to the satisfaction of the minister that he or she intends to return to Saskatchewan at the end of the period of absence is a resident during his or her absence from Saskatchewan";
- (c) in the portion of clause (d) preceding subclause (i):
  - (i) by striking out "resident, and" and substituting "resident and who"; and
  - (ii) by adding "or she" after "he";
- (d) in the portion of clause (d) following subclause (ii):
  - (i) by adding "or she" after "he";
  - (ii) by adding "or her" after "his" wherever it appears; and
  - (iii) by adding "or her" after "him" wherever it appears;

#### (e) by repealing subclause (e)(iii) and substituting the following:

- "(iii) proves to the satisfaction of the minister that he or she intends to resume residence in Saskatchewan after completing that employment, including additional time spent by him or her outside Saskatchewan not exceeding 60 days, for the purpose of travelling or because of circumstances requiring him or her to be absent from Saskatchewan"; and
- (f) in clause (f) by adding "or her" after "his".

#### Section 6 amended

- 4(1) Subsection 6(1) is amended:
  - (a) by repealing clause (a);
  - (b) by adding the following clause before clause (b):
  - "(a.1) 'non-Canadian spouse of a Canadian citizen' means a person:
    - (i) who is not a Canadian citizen;
    - (ii) who has been lawfully admitted to Canada;
    - (iii) who is the spouse of a Canadian citizen who is a beneficiary and is living with that spouse in Saskatchewan;
    - (iv) whose application for permanent residence as a member of the family class within the meaning of the *Immigration and Refugee Protection Act* (Canada) has been sponsored by the beneficiary spouse mentioned in subclause (iii); and
    - (v) who has been allowed to remain in Canada pending adjudication of his or her application for permanent residence";

# (c) by repealing subclauses (b)(i), (ii) and (iii) and substituting the following:

- "(i) a member of the clergy or member of a religious order who has been lawfully admitted to Canada and is in Saskatchewan for the purpose of carrying out his or her religious duties;
- "(ii) a student who has been lawfully admitted to Canada and is in full-time attendance at an educational institution in Saskatchewan:
- "(iii) engaged in a legitimate profession, trade or occupation, has been lawfully admitted to Canada and is in Saskatchewan for the temporary exercise of his or her calling or for the purpose of receiving training that is promoted by a department of the Government of Saskatchewan and is related to that calling";
- (d) by adding the following clause after clause (b):
- "(b.1) 'permanent resident' means a person who:
  - (i) is not a Canadian citizen;
  - (ii) has been lawfully admitted to Canada as a permanent resident;

- (iii) is in possession of a status document within the meaning of section 31 of the *Immigration and Refugee Protection Act* (Canada) indicating the person's status as a permanent resident;
- (iv) has not lost permanent resident status pursuant to section 46 of the *Immigration and Refugee Protection Act* (Canada); and
- (v) establishes residence in Saskatchewan before the first day of the third month after having been lawfully admitted as a permanent resident";

#### (e) by repealing clause (e) and substituting the following:

#### "(e) 'returning resident' means a person who:

- (i) is not a Canadian citizen;
- (ii) has been granted permanent resident status pursuant to the *Immigration and Refugee Protection Act* (Canada) and has not lost that status pursuant to section 46 of that Act;
- (iii) is in possession of a status document within the meaning of section 31 of the *Immigration and Refugee Protection Act* (Canada) indicating the person's status as a permanent resident;
- (iv) after being outside of Canada, has been lawfully readmitted to Canada as a permanent resident pursuant to the *Immigration and Refugee Protection Act* (Canada); and
- (v) establishes residence in Saskatchewan before the first day of the third month after having been lawfully readmitted as a permanent resident"; and

#### (f) in clause (g):

- (i) by repealing subclause (i) and substituting the following:
- "(i) a permanent resident"; and
- (ii) by adding the following subclause after subclause (v):
- "(vi) a non-Canadian spouse of a Canadian citizen".
- (2) Subsection 6(2) is amended by striking out "subsection (3)" and substituting "subsections (3), (6) and (7)".

#### (3) The following subsections are added after subsection 6(5):

- "(6) A non-Canadian spouse of a Canadian citizen qualifies as a beneficiary on the day on which his or her completed application for permanent residence is received by the Department of Citizenship and Immigration of the Government of Canada.
- "(7) A returning Canadian citizen who has a spouse, who is not living apart from the spouse pursuant to a decree of judicial separation, under a separation agreement or because of desertion on his or her part or the part of the spouse and who arrives in Saskatchewan in advance of the spouse qualifies as a beneficiary on the day on which the spouse establishes residence in Saskatchewan".

#### Coming into force

- 5(1) Subject to subsection (2), these regulations come into force on February 1, 2005.
- (2) If these regulations are filed with the Registrar of Regulations after February 1, 2005, these regulations come into force on the day on which they are filed with the Registrar of Regulations.

#### SASKATCHEWAN REGULATIONS 12/2005

The Gas Inspection Act, 1993

Section 35

Order in Council 93/2005, dated February 15, 2005

(Filed February 16, 2005)

#### Title

1 These regulations may be cited as The Gas Inspection Amendment Regulations, 2005.

#### R.R.S. c.G-3.2 Reg 1, section 16 amended

- **2**(1) Section 16 of *The Gas Inspection Regulations* is amended in the manner set forth in this section.
- (2) Subsection (1) is amended by striking out "CAN/CSA-B149.1-00" and substituting "CAN/CSA-B149.1-05".
- (3) Subsection (2) is amended by striking out "CAN/CSA-B149.2-00" and substituting "CAN/CSA-B149.2-05".
- (4) Subsection (5) is amended by striking out "CAN/CSA-B149.3-00" and substituting "CAN/CSA-B149.3-05".

#### Coming into force

**3** These regulations come into force on the day on which they are filed with the Registrar of Regulations.

#### SASKATCHEWAN REGULATIONS 13/2005

The Securities Act, 1988

Section 154

Commission Order, dated February 2, 2005

(Filed February 16, 2005)

#### Title

1 These regulations may be cited as The Securities Commission (Adoption of National Instruments) Amendment Regulations, 2005.

#### R.R.S. c.S-42.2 Reg 3 amended

2 The Securities Commission (Adoption of National Instruments) Regulations are amended in the manner set forth in these regulations.

#### Section 2 amended

#### 3 The following clause is added after clause 2(ll):

"(mm) National Instrument 31-101, entitled National Registration System, as set out in Part XXXIX of the Appendix".

#### Part XXIV of Appendix amended

- 4(1) Part XXIV of the Appendix is amended in the manner set out in this section.
- (2) Section 1.1 is amended:
  - (a) by repealing the definition of "legal proxy" and substituting the following:

"'legal proxy' means a voting power of attorney, in the form of Form 54-101F8, granted to a beneficial owner or to a person designated by the beneficial owner, by either an intermediary or a reporting issuer under a written request of the beneficial owner";

- (b) by repealing the definition of "routine business"; and
- (c) by adding the following definitions after the definition of "send":
  - "'special meeting' means a meeting at which a special resolution is being submitted to the securityholders of a reporting issuer;
  - "'special resolution' for a meeting:
    - (a) has the same meaning given to the term "special resolution" under corporate law; or
    - (b) if no such term exists under corporate law, means a resolution that is required to be passed by at least two-thirds of the votes cast".
- (3) Paragraph 2.2(2)(h) is repealed and the following substituted:
  - "(h) whether the meeting is a special meeting".
- (4) Section 2.20 is amended by adding "paragraph 2.1(b) or" before "subsections 2.2(1) or 2.5(1)".
- (5) Sub-paragraph 3.2(b)(iii) is amended by striking out "enquire" and substituting "if applicable, enquire".
- (6) Section 3.3 is repealed and the following substituted:
- **"3.3 Transitional** Instructions from Existing Clients An intermediary that holds securities on behalf of a client in an account that was opened before the coming into force of this Instrument:
  - (a) may seek new instructions from its client in relation to the matters to which the client response form pertains; and
  - (b) in the absence of new instructions from the client, shall rely on the instructions previously given or deemed to have been given by the client under NP41 in respect of that account, on the following basis:
    - (i) if the client chose to permit the intermediary to disclose the client's name and security holdings to the issuer of the security or other sender of material, the client is a NOBO under this Instrument;

- (ii) if the client was deemed to have permitted the intermediary to disclose the client's name and security holdings to the issuer of the security or other sender of material, the intermediary may choose to treat the client as a NOBO under this Instrument;
- (iii) if the client chose not to permit the intermediary to disclose the client's name and security holdings to the issuer of the security or other sender of material, the client is an OBO under this Instrument;
- (iv) if the client chose not to receive material relating to annual or special meetings of securityholders or audited financial statements, the client is considered to have declined under this Instrument to receive:
  - (A) proxy-related materials that are sent in connection with a securityholder meeting;
  - (B) financial statements and annual reports that are not part of proxy-related materials; and
  - (C) materials sent to securityholders that are not required by corporate or securities law to be sent to registered securityholders;
- (v) if the intermediary was permitted not to provide material relating to annual meetings of securityholders or audited financial statements, the client is considered to have declined under this Instrument to receive:
  - (A) proxy-related materials that are sent in connection with a securityholder meeting that is not a special meeting;
  - (B) financial statements and annual reports that are not part of proxy-related materials; and
  - (C) materials sent to securityholders that are not required by corporate or securities law to be sent to registered securityholders;
- (vi) if the client chose to receive material relating to annual or special meetings of securityholders and audited financial statements, the client is considered to have chosen under this Instrument to receive all securityholder materials sent to beneficial owners of securities;
- (vii) the client is considered to have chosen under this Instrument as the client's preferred language of communication the language that has been customarily used by the intermediary to communicate with the client".
- (7) The following section is added after section 4.7:
- "4.8 Fees from Persons or Companies other than Reporting Issuers A proximate intermediary that receives securityholder materials from a person or company that is not a reporting issuer for sending to beneficial owners is not required to send the securityholder materials to any beneficial owners or intermediaries that are clients of the proximate intermediary unless the proximate intermediary receives reasonable assurance of payment for the delivery of the securityholder materials".

#### (8) Section 6.2 is amended:

- (a) by repealing subsection (1) and substituting the following:
- "(1) A person or company may take any action permitted under this Instrument to be taken by a reporting issuer and, in so doing, has all the rights, and is subject to all of the obligations, of a reporting issuer in connection with that action, unless this Instrument specifies a different right or obligation";
  - (b) in subsection (3) by striking out "section 2.18" and substituting "paragraphs 2.12(1)(a) and (b) and sections 2.14 and 2.18"; and
  - (c) by adding the following subsection after subsection (5):
- "(6) A person or company, other than a reporting issuer to which the request relates, that sends materials indirectly to beneficial owners shall pay to the proximate intermediary a fee for sending the securityholder materials to the beneficial owners".
- (9) Part 7 is repealed and the following substituted:

#### "PART 7 USE OF NOBO LIST AND INDIRECT SENDING OF MATERIALS

- **"7.1 Use of NOBO List** No reporting issuer or other person or company shall use a NOBO list or a report prepared under section 5.3 relating to the reporting issuer and obtained under this Instrument, except in connection with:
  - (a) sending securityholder materials to NOBOs in accordance with this Instrument;
  - (b) an effort to influence the voting of security holders of the reporting issuer;
  - (c) an offer to acquire securities of the reporting issuer; or
  - (d) any other matter relating to the affairs of the reporting issuer.
- **"7.2 Indirect Sending of Materials** No person or company other than the reporting issuer shall send any materials indirectly to beneficial owners of a reporting issuer under section 2.12 of this Instrument except in connection with:
  - (a) an effort to influence the voting of security holders of the reporting issuer;
  - (b) an offer to acquire securities of the reporting issuer; or
  - (c) any other matter relating to the affairs of the reporting issuer".

#### (10) Form 54-101F1 is amended:

- (a) in the "EXPLANATION TO CLIENTS" portion:
  - (i) by deleting the second and third paragraphs under the heading "Disclosure of Beneficial Ownership Information" and substituting the following:
    - "If you **DO NOT OBJECT** to the disclosure of your beneficial ownership information, please mark the first box in Part 1 of the form. In those circumstances, you will not be charged with any costs associated with sending securityholder materials to you.

"If you **OBJECT** to the disclosure of your beneficial ownership information by us, please mark the second box in Part 1 of the form. If you do this, all materials to be delivered to you as a beneficial owner of securities will be delivered by us. [Instruction: Disclose particulars of any fees or charges that the intermediary may require an objecting beneficial owner to pay in connection with the sending of securityholder materials.]";

# (ii) by deleting the third paragraph under the heading "Receiving Securityholder Materials" and substituting the following:

"Securities law permits you to decline to receive securityholder materials. The three types of materials that you may decline to receive are:

- (a) proxy-related materials, including annual reports and financial statements, that are sent in connection with a securityholder meeting;
- (b) annual reports and financial statements that are not part of proxy-related materials; and
- (c) materials that a reporting issuer or other person or company sends to securityholders that are not required by corporate or securities law to be sent to registered holders"; and

#### (iii) by deleting the Instruction in the first paragraph under the heading "Electronic Delivery of Documents" and substituting the following:

"[Instruction: If applicable, either state (1) if the client wishes to receive documents by electronic delivery from the intermediary, the client should complete, sign and return an enclosed consent form with the client response form or (2) inform the client that electronic delivery of documents by the intermediary may be available upon his or her consent, and provide information as to how the client may provide that consent.]"; and

# (b) in the "CLIENT RESPONSE FORM" portion by deleting the text under the heading "Part 2 – Receiving Securityholder Materials" and substituting the following:

"Please mark the corresponding box to show what materials you want to receive. Securityholder materials sent to beneficial owners of securities consist of the following materials: (a) proxy-related materials for annual and special meetings; (b) annual reports and financial statements that are not part of proxy-related materials; and (c) materials sent to securityholders that are not required by corporate or securities law to be sent.

	I WANT	to receive	ALL	securityholder	materials	sent to	beneficial
owr	ers of sec	rurities					

☐ I DECLINE to receive ALL security holder materials sent to beneficial
owners of securities. (Even if I decline to receive these types of materials, $I$
understand that a reporting issuer or other person or company is entitled
to send these materials to me at its expense.)

☐ I WANT to receive ONLY proxy-related materials that are sent in connection with a special meeting.

(Important note: These instructions do not apply to any specific request you give or may have given to a reporting issuer concerning the sending of interim financial statements of the reporting issuer. In addition, in some circumstances, the instructions you give in this client response form will not apply to annual reports or financial statements of an investment fund that are *not* part of proxy-related materials. An investment fund is also entitled to obtain specific instructions from you on whether you wish to receive its annual report or financial statements, and where you provide specific instructions, the instructions in this form with respect to financial statements will not apply.)".

#### (11) Part 1 of Form 54-101F2 is amended:

- (a) by deleting clause 7.5(a) and substituting the following:
- "(a) the type of meeting (annual, special or annual and special)"; and
- (b) by deleting clause 9.3(a) and substituting the following:
- "(a) the type of meeting (annual, special or annual and special)".
- (12) FORM 54-101F8 is repealed and the following substituted:

# "NATIONAL INSTRUMENT 54-101 COMMUNICATION WITH BENEFICIAL OWNERS OF SECURITIES OF A REPORTING ISSUER

#### "FORM 54-101F8 LEGAL PROXY

Note: Terms used in this Form have the meanings given to them in National Instrument 54-101.

The use of this Form is referenced in sections 1.1, 2.18 and 4.5 of National Instrument 54-101.

#### LEGAL PROXY

Subject to the paragraph that follows, the undersigned, being a registered holder or proxy holder in respect of securities of the reporting issuer specified below, hereby appoints [insert name(s) from beneficial owner request for a legal proxy], with power of substitution, to attend, vote and otherwise act for and on behalf of the undersigned to the extent of the number of securities specified, in respect of all matters that may come before the meeting of securityholders specified below, and at any adjournment or continuance.

This instrument supersedes and revokes any prior proxy made by the undersigned with respect to the voting of the securities specified below at such meeting, or at any adjournment thereof.

Issuer:	
Class/Series of Security:	
ISIN Number:	
Number of Securities:	
Name of Registered Holder of Securit and any Intermediaries through whom proxy is derived:	cies
Date of Meeting:	
Place of Meeting:	
Beneficial Ownership Determination	
Date of Meeting:	
	by this legal proxy, you will be acknowledging that person designated by the beneficial owner to vote ote, such securities.
-	Registered Holder of Securities or Proxy Holder
	Signing Officer
-	Date ".

(13) A reporting issuer that has filed a notice of a meeting and record date with the securities regulatory authority in accordance with the provisions of National Instrument 54-101 before the coming into force of this section is, with respect to that meeting, exempt from the amendments made by this section if the reporting issuer complies with the provisions of that National Instrument as those provisions existed on February 8, 2005.

#### **New Part XXXIX**

#### 5 The following Part is added after Part XXXVIII:

"PART XXXIX [clause 2(mm)]

#### "NATIONAL INSTRUMENT 31-101 NATIONAL REGISTRATION SYSTEM

#### "PART 1 DEFINITIONS AND INTERPRETATION

#### **"1.1 Definitions** – In this Instrument:

'filer' means a firm filer or an individual filer;

'filing requirements' means the requirements, as they apply to filers, contained in the securities legislation of the jurisdictions in which a filer is registered, approved or reviewed or submitting an application for registration, approval or review, pursuant to which the filer must file, as and when required, documents and information with the securities regulatory authorities or regulators of such jurisdictions in connection with the filer's fit and proper requirements, but does not mean any such requirements in connection with the filer's renewal of registration;

'firm filer' means a registered firm or a person or company submitting an application to become a registered firm;

'fit and proper requirements' means the requirements and prohibitions, as they apply to registered filers or non-registered individuals, contained in the securities legislation of the jurisdictions in which a registered filer is registered or in which a non-registered individual is approved or reviewed, to ensure the suitability of a filer to be registered or to be approved as a non-registered individual, namely as regards the filer's solvency, integrity and proficiency, but does not mean:

- (a) any requirements to pay fees in connection with a registration or approval; or
- (b) any requirements as they apply to mutual fund dealers and their sponsored individuals who are registered in Québec, contained in the securities legislation of Québec, with respect to liability insurance;

#### 'individual filer' means:

- (a) a registered individual;
- (b) an individual submitting an application to become a registered individual; or
- (c) a non-registered individual submitting, or on whose behalf a sponsoring firm is submitting, an application for the approval or review of the individual as director, partner, officer, compliance officer, branch manager or substantial holder of the sponsoring firm;

**'investment dealer'** means a person or company registered in a category referred to in Appendix A opposite the name of the local jurisdiction under the heading "Investment Dealer";

'MRRS MOU' means the Memorandum of Understanding relating to the Mutual Reliance Review System signed as of October 14, 1999, as amended, supplemented or replaced from time to time;

**'mutual fund dealer'** means a person or company registered in a category referred to in Appendix A opposite the name of the local jurisdiction under the heading "Mutual Fund Dealer";

'National Registration System' or 'NRS' means the system implemented pursuant to the MRRS MOU, this Instrument and NP 31-201, to facilitate the registration, approval or review in the jurisdiction of a non-principal regulator of investment dealers, mutual fund dealers, unrestricted advisers and their sponsored individuals;

'non-principal regulator' means, for a filer, a securities regulatory authority or regulator, other than the principal regulator, with whom the filer is registered, approved or reviewed or to whom the filer is submitting an application under NRS to be registered, approved or reviewed;

**'non-registered individual'** means, for a sponsoring firm, an individual other than a registered individual who is:

- (a) a director, partner, officer, compliance officer or branch manager of the firm; or
- (b) in Alberta, British Columbia and Ontario, a director, partner, officer or substantial holder of the firm:

'notice requirements' means the requirements, as they apply to registered individuals, non-registered individuals or registered firms, contained in the securities legislation of the jurisdictions in which a registered filer is registered or in which a non-registered individual is approved or reviewed, pursuant to which the registered filer or non-registered individual must notify, as and when required, the securities regulatory authorities or regulators of such jurisdictions of changes and events in connection with the filer's fit and proper requirements;

'NP 31-201' means National Policy 31-201 National Registration System;

'NRS document' means the document issued by the principal regulator for an application made under NRS that evidences that a decision has been made by the principal regulator and the non-principal regulators that have not opted out of NRS for that application, and that evidences the terms and conditions of such decision;

#### 'principal regulator' means:

- (a) for a firm filer, the securities regulatory authority or regulator of the jurisdiction with which the firm filer has the most significant connection; and
- (b) for an individual filer, the securities regulatory authority or regulator of the jurisdiction in which the individual filer's working office is located;

'registered filer' means a registered firm or registered individual;

**'registered firm'** means a person or company that is registered in at least one jurisdiction as an investment dealer, a mutual fund dealer or an unrestricted adviser;

**'registered individual'** means an individual that is registered in at least one jurisdiction to trade or advise on behalf of a registered firm;

#### 'securities legislation' means:

- (a) for a local jurisdiction other than Québec, the statute and other instruments referred to in Appendix B of National Instrument 14-101 Definitions opposite the name of the local jurisdiction; and
- (b) for Québec:
  - (i) the statute and other instruments referred to in Appendix B of National Instrument 14-101 Definitions opposite Québec;
  - (ii) an Act respecting the distribution of financial products and services (R.S.Q., c. D-9.2) and the regulations under that Act and the blanket rulings and orders issued by the securities regulatory authority; and
  - (iii) an Act respecting the Agence nationale d'encadrement du secteur financier (R.S.Q., c. A-7.03) and the regulations under that Act and the blanket rulings and orders issued by the securities regulatory authority;

but does not mean any regulation adopted by or for a self-regulatory organization;

#### 'sponsored individual' means, for a firm filer:

- (a) a registered individual who trades or advises on behalf of the firm filer;
- (b) an individual submitting an application to become a registered individual who proposes to trade or advise on behalf of the firm filer; or
- (c) a non-registered individual of the firm filer;

#### 'sponsoring firm' means:

- (a) for a registered individual, the registered firm on whose behalf the individual trades or advises;
- (b) for an individual submitting an application to become a registered individual, the registered firm, or the person or company submitting an application to become a registered firm, on whose behalf the individual proposes to trade or advise;

- (c) for a non-registered individual of a registered firm, the registered firm; or
- (d) for a non-registered individual of a person or company submitting an application to become a registered firm, the person or company that is submitting the application;

'substantial holder' means any individual who beneficially owns, whether directly or indirectly, or exercises control or direction over, ten percent or more of the voting securities of a firm filer;

**'unrestricted adviser'** means a person or company registered in a category referred to in Appendix A opposite the name of the local jurisdiction under the heading "Unrestricted Adviser"; and

'working office' means the office of the sponsoring firm from which an individual filer primarily works or proposes to primarily work.

#### "1.2 Interpretation

- (1) For the purposes of this Instrument, the term "registration" includes a reinstatement of registration or an amendment to registration, where appropriate.
- (2) For the purposes of this Instrument, a category of registration in a jurisdiction corresponds to a category of registration in another jurisdiction if both categories permit the same or substantially the same advising or trading activity.

#### "PART 2 APPLICATION

#### "2.1 Application of NRS to Firm Filers

- (1) A firm filer may elect to use the National Registration System if the firm filer:
  - (a) has a business office in Canada; and
  - (b) is:
    - (i) a registered firm in the jurisdiction of its principal regulator and in at least one other jurisdiction;
    - (ii) submitting an application to become a registered firm in the jurisdiction of its principal regulator and in at least one other jurisdiction; or
    - (iii) a registered firm in the jurisdiction of its principal regulator and submitting an application to become a registered firm in at least one other jurisdiction;

in all cases, in corresponding categories of registration.

- (2) A firm filer elects to use NRS by submitting to the principal regulator and to all non-principal regulators a completed Form 31-101F1. A new completed Form 31-101F1 must be submitted to the principal regulator and all non-principal regulators when a registered firm is seeking registration in further jurisdictions.
- (3) The National Registration System must be used for each application for registration submitted by a firm filer if the firm filer has elected to use NRS.

#### "2.2 Application of NRS to individual Filers

The National Registration System must be used for each application for registration, approval or review of an individual filer when:

- (a) the individual filer resides in Canada;
- (b) the individual filer's sponsoring firm has elected to use NRS; and
- (c) the individual filer, or the individual filer's sponsoring firm, is submitting the application to a non-principal regulator in a category of registration, approval or review which corresponds to the category in which the individual filer is registered or has been approved or reviewed, or for which the individual filer, or the individual filer's sponsoring firm, is submitting an application to be registered, approved or reviewed, in the jurisdiction of the individual filer's principal regulator.

#### "2.3 Notice of Change

If the factors considered by a firm filer in determining the jurisdiction with which it has the most significant connection change, the firm filer must immediately notify its principal regulator of such change by submitting a completed Form 31-101F2.

#### "PART 3 LOCAL EXEMPTIONS

#### "3.1 Exemptions from Non-principal Regulator Requirements

- (1) Except as provided in section 3.3, a filer registered, approved or reviewed or submitting an application for registration, approval or review in a local jurisdiction under NRS, a firm filer electing to use NRS or an individual filer whose sponsoring firm has elected to use NRS, is exempt from the fit and proper requirements, notice requirements and filing requirements of the local jurisdiction if:
  - (a) the regulator or securities regulatory authority of the local jurisdiction is a non-principal regulator;
  - (b) the filer complies with the applicable fit and proper requirements, notice requirements and filing requirements of the jurisdiction of the filer's principal regulator; and
  - (c) where the principal regulator of the firm filer is situate in Québec, the firm filer registered or submitting an application for registration as a mutual fund dealer maintains insurance or bonding with respect to registrable activities conducted in the local jurisdiction that meets the requirements prescribed by the rules of the self-regulatory organization of which the firm filer is or must be a member.

(2) A filer registered under NRS is exempt from the local requirement to hold a certificate of registration or to have received written notice of the registration before conducting an activity for which the filer must be registered, if the filer has received an NRS document from its principal regulator that evidences that the local regulator or securities regulatory authority has registered the filer in a category that permits the filer to carry on the activity.

#### "3.2 Temporary Exemption - Change of Principal Regulator

If the principal regulator of a registered filer changes, the registered filer is exempt from the fit and proper requirements of the local jurisdiction of the redesignated principal regulator for a period of six months following the effective date of the change of principal regulator, provided that the registered filer continues to satisfy the fit and proper requirements applicable in the jurisdiction of its previous principal regulator during that period.

#### "3.3 Termination of Exemptions

- (1) The exemptions in subsection 3.1(1) and section 3.2 are no longer available to a registered filer or non-registered individual that ceases to be eligible under NRS or, for a registered firm, that elects to no longer use NRS.
- (2) A filer shall cease to benefit from the exemption set forth in subsection 3.1(1) in any local jurisdiction where a non-principal regulator of the filer opts out of NRS on the filer's application, unless the non-principal regulator opts back in.

#### "PART 4 TRANSITION

#### "4.1 Registration or Approvals of Individual Filers in Québec

An individual filer whose principal regulator is situate in Québec will not be exempt from the filing requirements contained in Multilateral Instrument 33-109 Registration Information and Multilateral Instrument 31-102 National Registration Database, unless similar requirements are applicable in Québec to the individual filer.

#### "PART 5 EXEMPTION

#### "5.1 Exemption

- (1) The regulator or securities regulatory authority may grant an exemption from this Instrument, in whole or in part, subject to such conditions or restrictions as may be imposed in the exemption.
- (2) Despite subsection (1), in Ontario only the regulator may grant such an exemption.

"Appendix A
REGISTRATION CATEGORY CONCORDANCE

		1	
	Investment Dealer	Mutual Fund Dealer	Unrestricted Adviser
Alberta	Investment dealer	Mutual fund dealer	Investment counsel or portfolio manager
British Columbia	Investment dealer	Mutual fund dealer	Investment counsel or portfolio manager
Manitoba	Investment dealer	Mutual fund dealer	Investment counsel or portfolio manager
New Brunswick	Investment dealer	Mutual fund dealer	Investment counsel or portfolio manager
Newfoundland & Labrador	Investment dealer	Mutual fund dealer	Investment counsel or portfolio manager
Nova Scotia	Investment dealer	Mutual fund dealer	Investment counsel or portfolio manager
Ontario	Investment dealer	Mutual fund dealer	Investment counsel or portfolio manager
Prince Edward Island	Investment dealer	Mutual fund dealer	Investment counsel or portfolio manager
Québec	Dealer with an unrestricted practice	Firm in group-savings plan brokerage	Adviser with an unrestricted practice
Saskatchewan	Investment dealer	Mutual fund dealer	Investment counsel or portfolio manager
Northwest Territories	Investment dealer	Mutual fund dealer	Investment counsel or portfolio manager
Nunavut	Investment dealer	Mutual fund dealer	Investment counsel or portfolio manager
Yukon	Broker	Broker	Broker

#### "FORM 31-101F1

### "ELECTION TO USE NRS AND DETERMINATION OF PRINCIPAL REGULATOR

#### **General Instructions**

- 1. A firm filer must use this form to notify its principal regulator and non-principal regulator(s) of its election to use and to have its individual filers use NRS for an application submitted in more than one jurisdiction or in a jurisdiction of a non-principal regulator.
- 2. This form must be filed in paper format with the firm filer's principal regulator and non-principal regulator(s) when submitted in connection with an application.
- 3. If this form is not submitted with a firm filer's application, it may be submitted with the filer's principal regulator and non-principal regulators by e-mail at the following addresses:

Alberta nrs@seccom.ab.ca
British Columbia registration@bcsc.bc.ca
Manitoba securities@gov.mb.ca

New Brunswick information@nbsc-cvmnb.ca

Newfoundland & Labrador skmurphy@gov.nl.ca

Nova Scotia nrs@gov.ns.ca

Ontario registration@osc.gov.on.ca

Prince Edward Island mlgallant@gov.pe.ca

Québecinscription@lautorite.qc.caSaskatchewandmurrison@sfsc.gov.sk.caNorthwest Territoriesann\_burry@gov.nt.caNunavutsvangenne@gov.nu.caYukon Territorycorporateaffairs@gov.yk.ca

#### 1. Identification of Filer

NRD # (if applicable): .		
Firm Name:		

#### 2. Identification of Regulators

The undersigned firm is submitting an application or is registered in the following jurisdictions:

- (a) Jurisdiction of Principal Regulator:
- (b) Jurisdiction(s) of Non-Principal Regulator(s):

3.	Reasons for Designation of Principal Regulator		
		listed under subsection 3.2(4) of NP 31-201 that are e firm filer's determination of its principal regulator. red if deemed relevant.	
Ce	rtification and Submission	to Jurisdiction	
I, t	he undersigned, certify on beha	alf of (the "Firm")	
		(name of firm)	
the of the third in a per its	Firm irrevocably and uncondit he judicial, quasi-judicial and a s form has been submitted and any action, investigation or ad all or other proceeding (each, a activities as a registered filer u	d in this notice are true and, by submitting this form, ionally submits itself to the non-exclusive jurisdiction administrative tribunals of each jurisdiction to which any administrative proceedings in that jurisdiction, liministrative, disciplinary, criminal, quasi-criminal, proceeding) arising out of or relating to or concerning nder the securities legislation of the jurisdiction, and right to raise as a defence in any proceeding any g that proceeding.	
		(name of firm)	
		Per:	
	(date)	(Signature of authorized officer or partner)	

#### "FORM 31-101F2 NOTICE OF CHANGE

#### **General Instructions**

- 1. This form must be submitted by a firm filer to notify its principal regulator of changes to the factors considered by the firm filer to determine the jurisdiction with which the firm filer has the most significant connection.
- 2. This form must be submitted with the filer's principal regulator by e-mail at the following address:

	Alberta	nrs@seccom.ab.ca
	British Columbia	registration@bcsc.bc.ca
	Manitoba	securities@gov.mb.ca
	New Brunswick	information@nbsc-cvmnb.ca
	Newfoundland & Labrador	skmurphy@gov.nl.ca
	Nova Scotia	nrs@gov.ns.ca
	Ontario	registration@osc.gov.on.ca
	Prince Edward Island	mlgallant@gov.pe.ca
	Québec	inscription@lautorite.qc.ca
	Saskatchewan	dmurrison@sfsc.gov.sk.ca
	Northwest Territories	ann_burry@gov.nt.ca
	Nunavut	svangenne@gov.nu.ca
	Yukon Territory	corporateaffairs@gov.yk.ca
1.	Identification of Filer	
	NRD # (if applicable):	
	Firm Name:	
2.	<b>Details of Change</b>	
	Provide details of the change to the factor the jurisdiction with which the firm filer	<u> </u>
2.	Provide details of the change to the factor	· ·

Certification	
I, the undersigned, on behalf of _certify that all statements of fact p	provided in this notice are true.
	(name of firm)
	Per:
(date)	(Signature of authorized officer or partner) ".

#### Coming into force

- **6**(1) Subject to subsections (2) to (4), these regulations come into force on February 9, 2005 the day on which they are filed with the Registrar of Regulations.
- (2) If these regulations are filed with the Registrar of Regulations after February 9, 2005, these regulations come into force on the day on which they are filed with the Registrar of Regulations.
- (3) Subject to subsection (4), section 5 of these regulations comes into force on April 4, 2005.
- (4) If these regulations are filed with the Registrar of regulations after April 4, 2005, section 5 of these regulations comes into force on the day on which these regulations are filed with the Registrar of Regulations.

#### SASKATCHEWAN REGULATIONS 14/2005

#### The Pest Control Act

Section 3

Minister's Order dated February 18, 2005

(Filed February 21, 2005)

#### Title

1 These regulations may be cited as *The Pests Declaration Amendment Regulations*, 2005.

#### Sask. Reg. 101/2004, section 5 amended

- **2**(1) Section 5 of *The Pests Declaration Amendment Regulations*, 2004 is amended in the manner set forth in this section.
- (2) Subsection (1) is amended by striking out "The Dutch Elm Disease Regulations, 2004" and substituting "The Dutch Elm Disease Regulations, 2005".
- (3) Subsection (2) is amended by striking out "The Dutch Elm Disease Regulations, 2004" and substituting "The Dutch Elm Disease Regulations, 2005".

#### Coming into force

**3** These regulations come into force on the day on which they are filed with the Registrar of Regulations.