

PART II**REVISED REGULATIONS OF SASKATCHEWAN****CHAPTER S-42.2 REG 4***The Securities Act, 1988*

Section 154

Commission Order, dated October 7, 1998

(Filed October 7, 1998)

Title

1 These regulations may be cited as *The Securities Commission (National Instrument 33-106 Emergency Adoption) Regulations*.

National Instrument 33-106 adopted

2 The Commission adopts National Instrument 33-106, as set out in the Appendix.

Compliance required

3 No person or company shall fail to comply with National Instrument 33-106 adopted pursuant to section 2.

Coming into force and expiry

4(1) These regulations come into force on the day on which they are filed with the Registrar of Regulations.

(2) These regulations expire and are repealed one year from the day on which they are filed with the Registrar of Regulations.

Appendix**NATIONAL INSTRUMENT 33-106
(Year 2000 Preparation Reporting)**

[Section 2]

PART 1 DEFINITIONS AND INTERPRETATION**1.1 Definitions – In this Instrument**

“primary audit SRO” means the specified SRO selected by a registered firm as primarily responsible for oversight of the firm;

“registered firm” means a registrant that is registered as a dealer, adviser or underwriter but does not include an individual;

“specified SRO” means:

- (a) the Investment Dealers Association of Canada;
- (b) the Vancouver Stock Exchange;
- (c) the Alberta Stock Exchange;
- (d) The Toronto Stock Exchange; or
- (e) The Montreal Exchange;

“Year 2000 Management Certificate” means the certificate of management in the form attached as Appendix B to this Instrument;

“Year 2000 Survey” means the survey of preparations for the Year 2000 Problem of a registered firm attached as Appendix A to this Instrument.

1.2 Interpretation – For purposes of this Instrument, the Year 2000 Management Certificate and the Year 2000 Survey, the term “**Year 2000 Problem**” includes problems arising from any of the following:

- (a) computer software incorrectly reading the date “01/01/00” as being the year 1900 or another incorrect year;
- (b) computer software incorrectly identifying a date in the year 1999 or any year thereafter;
- (c) computer software failing to detect that the year 2000 is a leap year;
- (d) any other computer software error that is directly or indirectly caused by the problems set out in clauses (a), (b) or (c) of this section.

PART 2 YEAR 2000 SURVEY

2.1 Filing – A registered firm shall file with the regulator a completed Year 2000 Survey with information current to September 30, 1998 by the later of:

- (a) October 31, 1998; and
- (b) the date that is ten days after the date that this Instrument is effective.

2.2 SRO Members – A registered firm that is a member of a specified SRO is exempt from filing the Year 2000 Survey with the regulator if:

- (a) the firm files the Year 2000 Survey with its primary audit SRO in the time and with information current to the time set out in section 2.1; and
- (b) the primary audit SRO for the firm notifies the firm in writing that it will file the Year 2000 Survey with the regulator.

PART 3 YEAR 2000 MANAGEMENT CERTIFICATE

3.1 Filing – A registered firm shall file with the regulator a completed Year 2000 Management Certificate as follows:

- (a) containing information current to September 30, 1998 by the later of:
 - (i) October 31, 1998; and
 - (ii) the date that is ten days after the date that this Instrument is effective;
- (b) by January 31, 1999, containing information current to December 31, 1998;
- (c) by April 30, 1999, containing information current to March 31, 1999; and
- (d) by June 30, 1999, containing information current to June 15, 1999.

3.2 SRO Members – A registered firm that is a member of a specified SRO is exempt from filing the Year 2000 Management Certificate for a date specified in section 3.1 with the regulator if:

- (a) the firm files the Year 2000 Management Certificate for the date with its primary audit SRO in the time and with information current to the time set out in section 3.1; and
- (b) the primary audit SRO for the firm notifies the firm in writing that it will file the Year 2000 Management Certificate with the regulator.

PART 4 FORMAT AND SIGNATURES

4.1 Format – All materials required to be filed with the regulator under this Instrument shall be filed electronically in portable document format or in a format convertible into portable document format.

4.2 Signatures – All materials required to be filed with the regulator under this Instrument shall be signed by means of an electronic entry of the name of the person or company required to sign the filing that is executed by the person or company as a signature.

PART 5 EXEMPTIONS

5.1 Exemptions

- (1) The regulator or securities regulatory authority may grant an exemption to this Instrument, in whole or in part, subject to such conditions or restrictions as may be imposed in the exemption.
- (2) Despite subsection (1), in Ontario, only the regulator may grant such an exemption.

APPENDIX A

TO NATIONAL INSTRUMENT 33-106 YEAR 2000 PREPARATION REPORTING YEAR 2000 SURVEY

(Firm Name)

(Head office address)

(Filing Date)

Instructions: CIRCLE the answers to the following questions and/or respond with the specific information requested:

1. (a) What is your registration category or categories in each jurisdiction?

Jurisdiction

Category

British Columbia

Alberta

Saskatchewan

Manitoba
Ontario
Quebec
Nova Scotia
New Brunswick
Prince Edward Island
Newfoundland
Northwest Territories
Yukon

- (b) Identify if your firm is an introducing or carrying broker and, if an introducing broker, the category of introducing broker:
- (c) If an introducing broker, note the name of your carrying broker:
2. Name the service providers which your firm uses and, if you are an adviser, name any fund to which you provide advice:
3. How does your firm rank the importance of preparing for the Year 2000 Problem?
Very Critical 5 4 3 2 1 Low Impact (circle one)
4. Is your firm's Board of Directors or Governing Body aware of the Year 2000 Problem and resulting risks?
Yes No If no, describe why:
5. Has your firm prepared a plan to address the Year 2000 Problem to ensure that the firm's computer systems continue to operate successfully after December 31, 1999?
Yes No If no, advise when plan will be available:
6. Does the scope of your firm's preparations for the Year 2000 Problem include assessing interfaces with external data providers?
Yes No If no, describe why:
7. Does the scope of your firm's preparations for the Year 2000 Problem include all parts of the organization, including foreign branches or companies?
Yes No If no, describe why:

8. Provide the name and contact information of the Partner/Director/Officer responsible for your firm's preparations for the Year 2000 Problem:

Name: _____

Title: _____

Firm: _____

Telephone #: _____

Fax #: _____

e-mail address: _____

9. (a) Is this a full-time project for the individual?

Yes No

- (b) If part-time, what percentage of this individual's time is dedicated to your firm's preparations for the Year 2000 Problem?

_____ %

10. Does your firm plan to use an outside consultant to advise it on the Year 2000 Problem and related issues?

Yes No

If Yes, provide contact information:

Name: _____

Title: _____

Firm: _____

Telephone #: _____

Fax #: _____

e-mail address: _____

11. At what level of corporate management is your firm's preparations for the Year 2000 Problem being sponsored?

Chairman President CFO CIO Director Other

Provide specific response for "Other":

12. Are progress reports provided to the project sponsor and management?

Yes No If no, describe why:

If so, how frequently?

Weekly Biweekly Monthly Quarterly Other

Provide specific response for "Other":

13. Do your firm's preparations for the Year 2000 Problem include an assessment phase to measure the scope and risk of the Year 2000 Problem at your firm?

Yes No If no, describe why:

14. Does the assessment phase include:

- | | | |
|---|-----|----|
| (a) An analysis of all third-party vendor software and hardware products? | Yes | No |
| (b) An analysis of all internal systems? | Yes | No |
| (c) An analysis of facilities and communication systems (e.g., elevators, telephones, power)? | Yes | No |
| (d) An inventory of all technology systems? | Yes | No |

If no, describe why:

How many technology systems have been identified?

15. Has your firm determined the corrective actions necessary to ensure the technology systems will be prepared for the Year 2000 Problem?

Yes No If no, state when this information will be available.

16. If so, how many systems will be:

- (a) Modified:
- (b) Retired:
- (c) Replaced:
- (d) Other (provide specific response):

17. What is the current completion status of your firm's preparations for the Year 2000 Problem?

_____ %

18. When is your firm scheduled to complete the following major milestones of its preparations for the Year 2000 Problem?

Milestone	Month/Year
------------------	-------------------

- | | |
|--|--|
| (a) Modification of firm systems: | |
| (b) Verification that other systems used by the firm are prepared for the Year 2000 Problem: | |
| (c) Testing of firm and other systems with other party systems: | |
| (d) Planned completion date of preparations: | |

19. Has your firm dedicated a separate budget for its preparations for the Year 2000 Problem?

Yes No

20. What is the anticipated total cost of your firm's preparations for the Year 2000 Problem?

_____ %

Firm's Chief Executive Officer to sign in the space below.

Name: _____ Date: _____

Signature: _____

Chief Executive Officer

APPENDIX B

TO NATIONAL INSTRUMENT 33-106 YEAR 2000 PREPARATION REPORTING YEAR 2000 MANAGEMENT CERTIFICATE

(Firm Name)

(Head office address)

(Filing Date)

(Answer Yes or No unless otherwise indicated)

Organization wide impact

1. Has an assessment been made of the impact of the Year 2000 Problem across the entire organization? _____
2. Was the assessment designed to identify all computer hardware and software and devices with embedded chips that contain date-dependent code? _____
3. Did the assessment address the importance of the systems that employ those resources in terms of the impact on the organization if they were to fail? _____
4. Did the assessment address other sources of risk such as dependence on suppliers and interconnectivity? _____

Project Plan

5. Has a project team been established and assigned to address your firm's Year 2000 Problem? _____

6. Has a project plan been established to ensure that mission critical systems throughout the whole organization will be remediated in time to avoid the negative consequences resulting from the Year 2000 Problem? _____
7. Is the project plan in writing? _____
8. Has an assessment phase been performed to measure the scope and risk of the Year 2000 Problem at your firm? _____
9. Has the board of directors (or similar body) of your firm approved the project plan? _____
10. Are the following items contained in the written project plan:
- (a) Assessment of the problem _____
 - (b) Correction of systems _____
 - (c) Replacement of systems _____
 - (d) Internal testing _____
 - (e) Point to point testing (interface testing with other registrants, custodians, transfer agents, clearing organizations, financial institutions, customers, other service providers, etc.) _____
 - (f) Training _____
 - (g) Industry wide testing _____
 - (h) Implementation of contingency plans _____
 - (i) Other (specify) _____
- _____
- _____
11. Has a budget allocation for the project plan been made and approved for:
- 1998 _____
- 1999 _____
- 2000 _____

12. Have sufficient financial resources been provided to carry out the project plan? _____
13. Does your firm have access to resources to carry out the project plan, including technical personnel and appropriate facilities (e.g. computer hardware, etc.)? _____
14. Has your firm contracted the expertise of consultants to review preparations for the Year 2000 Problem? _____

Progress against plan

15. Is there time to properly test new or amended systems? _____
16. When did/will your firm begin internal testing? **(insert date)** _____
17. When will internal testing be completed? **(insert date)** _____
18. Did testing of internal systems result in material exceptions that remain unresolved as of this filing? _____
19. Does your firm plan to participate in point to point or external interface testing? _____
20. When did/will your firm begin point to point testing (including testing with other registrants, custodians, transfer agents, clearing organizations, financial institutions, customers, other service providers, etc.)? **(insert date)** _____
21. When will point to point testing be completed? **(insert date)** _____
22. Did point to point testing result in material exceptions that remain unresolved as of this filing? _____
23. What is the current completion status (expressed as a percentage) of your internal mission critical systems with respect to the following phases:
- (a) Assessment _____ %
- (b) Remediation/replacement _____ %
- (c) Testing _____ %
- (d) Implementation _____ %
24. What is the current completion status (expressed as a percentage) of the project plan? _____ %
25. What is the planned completion date of the project plan? **(insert date)** _____

Contingency planning

26. Are there contingency plans to deal promptly with unforeseen issues that arise relating to the Year 2000 Problem? _____

Reporting

27. Is the Board of Directors (or similar body) receiving regular reports on addressing the broad impacts of the Year 2000 Problem and related issues on a timely basis? _____
28. What is the level of management responsible for addressing problems caused by the Year 2000 Problem? _____

Other

29. Identify third parties upon whom you rely for mission critical systems:

- _____
- _____
- _____
- _____
- _____

30. Has any third party upon whom you rely for mission critical systems declined or failed to provide you with assurances that it is taking the necessary steps to prepare for the Year 2000 Problem? _____

31. Provide any additional comments or material information that will assist in understanding the preparations of your firm for the Year 2000 Problem. _____

Name and Title – Type

Signature

Chief Executive Officer

Chief Financial Officer

Designated Year 2000 person responsible

Chairman of Year 2000 Board Committee
(if any) or Chairman of the Board

[date]

SASKATCHEWAN REGULATIONS 76/98

The Animal Products Act

Sections 15 and 18

Order in Council 609/1998, dated October 7, 1998

(Filed October 8, 1998)

Title

1 These regulations may be cited as *The Game Farming and Game Products Merchandising Amendment Regulations, 1998*.

R.R.S. c.A-20.2 Reg 5 amended

2 *The Game Farming and Game Products Merchandising Regulations, 1989* are amended in the manner set forth in these regulations.

Section 2 amended

3(1) The following clause is added after clause 2(1)(h):

“(h.1) **‘game animal product’** means an animal product produced from a game animal, including an animal product from a game animal that is to be used for the purposes of taxidermy”.

(2) The following clause is added after clause 2(1)(i):

“(i.1) ‘**game farm operator**’ means a person who holds a licence to establish and operate a game farm”.

(3) Subclause 2(1)(o)(ii) is amended by striking out “*The Wildlife Act*” and substituting “*The Wildlife Act, 1997*”.

(4) Clause 2(1)(q) is repealed and the following substituted:

“(q) ‘**process**’ means to process a game animal into a game animal product, but does not include slaughtering a game animal”.

(5) Clause 2(1)(r) is repealed.

(6) Subclause 2(1)(u)(ii) is amended:

(a) by striking out “or” after paragraph (A);

(b) by adding “or” after paragraph (B); and

(c) by adding the following paragraph after paragraph (B):

“(C) a mark or marker chosen by the game farm operator who owns or raises the game animal”.

(7) Clause 2(1)(v) is repealed.

(8) The following clause is added before clause 2(1)(w):

“(v.1) ‘**velvet antler**’ means immature antler prior to ossification”.

(9) Subclause 2(1)(x)(iii) is amended by striking out “*The Wildlife Act*” and substituting “*The Wildlife Act, 1997*”.

(10) Clause 2(1)(y) is amended by striking out “*The Wildlife Act*” and substituting “*The Wildlife Act, 1997*”.

New section 3

4 Section 3 is repealed and the following substituted:

“Licence required

3(1) Without holding a licence, no person shall:

(a) establish or operate a game farm;

(b) sell game animals or game animal products;

(c) subject to subsection (2), slaughter or process game animals for human consumption; or

(d) sell game meat to the public for human consumption.

(2) Clause (1)(c) does not apply to a person who slaughters or processes a game animal where the slaughter or processing is done with the permission and under the direction of a game farm operator and on the game farm of the game farm operator”.

Section 7 amended

5 Clause 7(1)(b) is repealed and the following substituted:

“(b) section 32, 33, 44 or 45 of *The Wildlife Act, 1997*”.

Section 10 amended

6 Subsection 10(2) is amended by striking out “*The Wildlife Act*” wherever it appears and in each case substituting “*The Wildlife Act, 1997*”.

Section 12 amended

7(1) Subsection 12(1) is amended by striking out “section 30 of *The Wildlife Act*” and substituting “section 31 of *The Wildlife Act, 1997*”.

(2) Subsection 12(2) is repealed and the following substituted:

“(2) Every person who proposes to introduce a new species of wildlife to Saskatchewan for the purpose of game farming shall file a development plan with the minister, in the form required by the minister”.

Section 15 amended

8(1) Subsection 15(1) is amended by striking out “*The Wildlife Act*” and substituting “*The Wildlife Act, 1997*”.

(2) Subclause 15(4)(b)(ii) is amended by striking out “*The Wildlife Act*” and substituting “*The Wildlife Act, 1997*”.

Section 16 amended

9 Section 16 is amended by striking out “*The Wildlife Act*” and substituting “*The Wildlife Act, 1997*”.

Section 17 amended

10(1) Subsection 17(1) is amended by striking out “*The Wildlife Act*” and substituting “*The Wildlife Act, 1997*”.

(2) Subsection 17(3) is amended by striking out “*The Wildlife Act*” and substituting “*The Wildlife Act, 1997*”.

New section 22

11 Section 22 is repealed and the following substituted:

“Slaughter

22(1) No person shall slaughter a game animal except in a humane manner.

(2) Every game farm operator who slaughters or permits or directs the slaughter of a game animal on his or her game farm shall ensure that the hard antlers, horns, hide and carcass of the game animal are labelled prior to their removal from the game farm with:

- (a) the date of slaughter;
- (b) the unique identification tag of the game animal;
- (c) the species and sex of the game animal; and
- (d) the licence number of the game farm operator.

(3) Every game farm operator who slaughters or permits or directs the slaughter of a game animal shall, within 14 days of the slaughter:

- (a) properly complete a manifest; and
- (b) submit a copy of the manifest to the minister.

(4) Every processor who slaughters a game animal shall retain a copy of the manifest pertaining to that game animal provided to that person in accordance with subsection 27(1).

(5) Every person who is required to complete or retain a manifest pursuant to subsection (3) or (4) shall ensure that:

- (a) the manifest is retained for one year after the expiration of the person's taxation year in which the manifest was provided; and

- (b) the manifest is available to a game inspector at all reasonable times for the purpose of inspection”.

New section 26

12 Section 26 is repealed and the following substituted:

“Requirements re game meat

26(1) Every holder of a licence who processes a game animal or the carcass of a game animal shall ensure that the game meat resulting from the processing, or the package in which the game meat is put, is labelled with:

- (a) the name of the species of the game animal or carcass that was processed; and
- (b) the licence number of either:
 - (i) the person who processed the game meat or carcass; or
 - (ii) the person who is to sell the game meat or carcass.

(2) Every holder of a licence who sells game meat shall ensure that the game meat being sold, or the package in which the game meat is put, is labelled with:

- (a) the name of the species of the game animal from which the game meat is derived; and
- (b) the licence number of either:
 - (i) the person who processed the game meat; or
 - (ii) the person who is selling the game meat”.

Section 28 amended

13 Subsection 28(1) is repealed.

Section 29 amended

14 Subsection 29(3) is amended:

(a) by repealing clause (a) and the following substituted:

“(a) on receipt of a game animal, or any game animal product other than velvet antler, enter into the written record:

- (i) the unique identification of the game animal;
- (ii) the date of purchase of the animal;
- (iii) the licence number of the vendor who sold the animal; and
- (iv) if the game animal product is to be used for the purposes of taxidermy, the name and address of the person from whom the game animal product was acquired”; and

(b) in subclause (b)(iii) by striking out “weight and”.

New section 30

15 Section 30 is repealed and the following substituted:

“Inspection

30(1) Every game farm operator shall make all game animals held by the game farm operator available for inspection at all reasonable times by a game inspector.

(2) Every person who slaughters or processes game animals shall make all of his or her game slaughtering and processing facilities available for inspection by a game inspector at all reasonable times”.

Coming into force

16 These regulations come into force on the day on which they are filed with the Registrar of Regulations.

SASKATCHEWAN REGULATIONS 77/98

The Wildlife Act, 1997

Section 84

Order in Council 610/1998, dated October 7, 1998

(Filed October 8, 1998)

Title

1 These regulations may be cited as *The Wildlife Amendment Regulations, 1998* (No. 3).

R.R.S. c.W-13.1 Reg 1 amended

2 *The Wildlife Regulations, 1981* are amended in the manner set forth in these regulations.

Section 11.1 amended

3 The following subsection is added after subsection 11.1(5):

“(6) Subsection 75(3), clause 77(1)(a) and subsection 80(2) of the Act apply to a contravention of this section”.

Section 30 amended

4 Paragraph 30(b)(ii)(C) is repealed and the following substituted:

“(C) in Range 20, in Township 17, Sections 1 and 2, the north half of Section 10 and Sections 11 to 15, 22 to 29 and 32 to 36 inclusive”.

Coming into force

5 These regulations come into force on the day on which they are filed with the Registrar of Regulations.

SASKATCHEWAN REGULATIONS 78/98

The Wildlife Act, 1997

Section 84

Order in Council 611/1998, dated October 7, 1998

(Filed October 8, 1998)

Title

1 These regulations may be cited as *The Wildlife Amendment Regulations, 1998* (No. 4).

R.R.S. c.W-13.1 Reg 1 amended

2 *The Wildlife Regulations, 1981* are amended in the manner set forth in these regulations.

Section 3 amended

3 Subsection 3(2) is repealed and the following substituted:

“(2) For the purposes of this section and section 4:

(a) ‘**game farm animal**’ means a game animal as defined in the merchandising regulations;

- (b) **‘game farm’** means a game farm as defined in the merchandising regulations;
 - (c) **‘game farm operator’** means a person who operates a game farm pursuant to the merchandising regulations;
 - (d) **‘merchandising regulations’** means *The Game Farming and Game Products Merchandising Regulations, 1989*.
- “(3) Notwithstanding subsection (1), these regulations, except for sections 4 and 11, subsection 26(1), and sections 51 and 53, do not apply:
- (a) to any game farm animal with respect to which a game farm operator holds a valid licence issued pursuant to the merchandising regulations;
 - (b) with respect to game farm animals, to any game farm operator who holds a valid licence issued pursuant to the merchandising regulations; and
 - (c) to any person who slaughters or processes a game farm animal where the slaughter or processing is done:
 - (i) with the permission and under the direction of a licensed game farm operator; and
 - (ii) on the game farm of the licensed game farm operator”.

Section 4 amended

4(1) Subsection 4(1) is amended:

- (a) **by striking out “and” after clause (g);**
- (b) **by adding “and” after clause (h); and**
- (c) **by adding the following clause after clause (h):**

“(i) game farm animals with respect to which a game farm operator holds a valid licence issued pursuant to the merchandising regulations”.

(2) The following subsections are added after subsection 4(3):

“(4) Subject to the merchandising regulations, the game farm licence and the consent and direction of the game farm operator, a person may hunt any game farm animal on a game farm at any time and in any amount or quota.

“(5) For the purposes of sections 44 and 45 of the Act, it is not an offence for a game farm operator or a person mentioned in subsection (4), either directly or indirectly, to sell, buy, trade, barter, exchange, deal in, solicit with respect to or offer for sale, or to advertise for the purpose of doing those things, any game farm animal with respect to which a game farm operator holds a valid licence issued pursuant to the merchandising regulations.

“(6) For the purposes of clause 24(1)(b) of the Act, a licence within the meaning of section 3 of the merchandising regulations constitutes a licence for the purposes of authorizing possession of wildlife that are game farm animals”.

Coming into force

5 These regulations come into force on the day on which they are filed with the Registrar of Regulations.

