

2011

## CHAPTER P-38.1

### An Act respecting the Protection of Public Servants who make Disclosures

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(Assented to May 18, 2011)

HER MAJESTY, by and with the advice and consent of the Legislative Assembly of Saskatchewan, enacts as follows:

PART I  
**Short Title and Interpretation**

**Short title**

1 This Act may be cited as *The Public Interest Disclosure Act*.

**Interpretation**

2(1) In this Act:

- (a) “**Commissioner**” means the Public Interest Disclosure Commissioner appointed pursuant to Part V;
- (b) “**designated officer**” means, with respect to a government institution:
  - (i) a senior official of the government institution who is designated as a designated officer for the government institution in accordance with section 5; or
  - (ii) the permanent head if:
    - (A) the permanent head of the government institution does not designate a senior official in accordance with section 5; or
    - (B) the permanent head makes a determination pursuant to section 7;
- (c) “**disclosure**” means a disclosure of wrongdoing made in good faith by a public servant in accordance with this Act;
- (d) “**government institution**” means, subject to subsection (2):
  - (i) the office of Executive Council or any department, ministry, secretariat or other similar agency of the executive government of Saskatchewan; or
  - (ii) any prescribed board, commission, Crown corporation or other body, or any prescribed portion of a board, commission, Crown corporation or other body, whose members or directors are appointed, in whole or in part:

- (A) by the Lieutenant Governor in Council;
  - (B) by a member of the Executive Council; or
  - (C) in the case of:
    - (I) a board, commission or other body, by a Crown corporation; or
    - (II) a Crown corporation, by another Crown corporation;
  - (e) **“minister”** means the member of the Executive Council to whom for the time being the administration of this Act is assigned;
  - (f) **“permanent head”** means:
    - (i) with respect to a government institution mentioned in subclause (d)(i), the deputy minister, president or other official in charge of the government institution who is directly responsible to a member of the Executive Council; and
    - (ii) with respect to a government institution mentioned in subclause (d)(ii), the prescribed person;
  - (g) **“prescribed”** means prescribed in the regulations;
  - (h) **“public servant”** means an employee of a government institution;
  - (i) **“public service”** means service with a government institution;
  - (j) **“reprisal”** means any of the following measures taken against a public servant because the public servant has, in good faith, sought advice about making a disclosure, made a disclosure, co-operated in an investigation pursuant to this Act or declined to participate in suspected wrongdoing:
    - (i) a dismissal, layoff, suspension, demotion or transfer, discontinuation or elimination of a job, change of a job location, reduction in wages, change in hours of work or reprimand;
    - (ii) any measure, other than one mentioned in subclause (i) that adversely affects the public servant’s employment or working conditions;
    - (iii) a threat to take any of the measures mentioned in subclauses (i) and (ii);
  - (k) **“wrongdoing”** means a wrongdoing mentioned in section 3.
- (2) For the purposes of clause (1)(d), **“government institution”** does not include:
- (a) a corporation the share capital of which is owned in whole or in part by a person other than the Government of Saskatchewan or an agency of it;
  - (b) the Legislative Assembly Service or offices of members of the Assembly or members of the Executive Council; or
  - (c) the Court of Appeal, the Court of Queen’s Bench or the Provincial Court of Saskatchewan.

## PART II Wrongdoings

### Wrongdoings to which this Act applies

**3** This Act applies to the following wrongdoings in or relating to government institutions and the public service:

- (a) a contravention of an Act, a regulation made pursuant to an Act, an Act of the Parliament of Canada or a regulation made pursuant to an Act of the Parliament of Canada;
- (b) an act or omission that creates:
  - (i) a substantial and specific danger to the life, health or safety of persons other than a danger that is inherent in the performance of the duties or functions of a public servant; or
  - (ii) a substantial and specific danger to the environment;
- (c) gross mismanagement of public funds or a public asset;
- (d) knowingly directing or counselling a person to commit a wrongdoing mentioned in clauses (a) to (c).

### Disciplinary action

**4** In addition to, and apart from, any penalty provided for by law, a public servant who commits a wrongdoing is subject to appropriate disciplinary action, including termination of employment.

## PART III Disclosure of Wrongdoing

### DIVISION 1 Procedures re Disclosures

#### Designated officer

**5(1)** Every permanent head of a government institution shall:

- (a) designate a senior official of the government institution to be the government institution's designated officer for the purposes of this Act; or
  - (b) if the permanent head does not designate a senior official pursuant to clause (a), perform the duties and exercise the powers of a designated officer imposed or given by this Act with respect to the government institution for which the permanent head is responsible.
- (2) Every designated officer shall receive and deal with disclosures by public servants in the government institution in which the designated officer is employed.

**Procedures to manage disclosures**

**6(1)** Every permanent head of a government institution shall establish procedures to manage disclosures by public servants of the government institution for which the permanent head is responsible.

(2) Subject to the regulations, the procedures mentioned in subsection (1) must include the following:

- (a) procedures for receiving and reviewing disclosures, including setting periods for making recommendations to the government institution and the permanent head respecting any corrective measures that should be taken;
- (b) procedures for referring the matter to another government institution, if the matter would more appropriately be dealt with there;
- (c) procedures for reviewing and investigating disclosures in accordance with the principles of procedural fairness and natural justice;
- (d) procedures for respecting the confidentiality of information collected in relation to disclosures and investigations, unless there is an imminent risk of a substantial and specific danger to the life, health or safety of persons, or to the environment;
- (e) subject to any other Act and to the principles of procedural fairness and natural justice, procedures for protecting the identity of persons involved in the disclosure process, including the person making the disclosure, witnesses and persons alleged to have committed the wrongdoings;
- (f) procedures for reporting the outcome of investigations;
- (g) procedures for enforcement and follow-up of any disciplinary action or corrective action taken or directed pursuant to this Act;
- (h) any additional prescribed procedures.

**Exception**

**7(1)** Sections 5 and 6 do not apply to a government institution if the permanent head determines that it is not practical to apply those sections to the government institution given the size of the government institution for which the permanent head is responsible.

(2) Before making a determination pursuant to subsection (1), the permanent head shall consult with the Commissioner.

**Information to be communicated**

**8** A permanent head shall ensure that information about this Act and, if the disclosure procedures mentioned in section 6 apply to the government institution, the disclosure procedures are widely communicated to the public servants of the government institution for which the permanent head is responsible.

DIVISION 2  
**Making and Dealing with a Disclosure**

**Seeking advice before making a disclosure**

9(1) A public servant who is considering making a disclosure may request advice from:

- (a) the designated officer for the government institution where the public servant is employed; or
- (b) the Commissioner.

(2) The designated officer or Commissioner may require a request for advice to be in writing.

**Disclosure by public servant**

10(1) Subject to Division 3, if a public servant reasonably believes that he or she has information that could show that a wrongdoing has been committed or is about to be committed or that could show that the public servant has been asked to commit a wrongdoing, the public servant may make a disclosure to:

- (a) the designated officer for the government institution where the public servant is employed; or
- (b) the Commissioner.

(2) If a disclosure is made to the Commissioner pursuant to this section, the Commissioner shall notify the permanent head of the government institution to which the disclosure relates about the disclosure.

**Content of disclosure**

11(1) A disclosure pursuant to section 10 must be made in writing and must be in the prescribed form.

(2) Subject to Division 3, the person receiving the disclosure may request the public servant making the disclosure to provide any additional information that the person receiving the disclosure may reasonably require in order to investigate the matters set out in the disclosure.

DIVISION 3  
**Information that may be Disclosed**

**Disclosure may be made notwithstanding other Acts**

12 Notwithstanding any other Act but subject to section 13 and to the regulations, a public servant may disclose information pursuant to this Act even if a provision in another Act prohibits or restricts disclosure of the information.

**Limits on disclosure**

13(1) Nothing in this Act authorizes a public servant to disclose:

- (a) information described in subsection 16(1) of *The Freedom of Information and Protection of Privacy Act*, except in circumstances mentioned in subsection 16(2) of that Act; or
- (b) information that is protected by solicitor-client privilege.

(2) In making a disclosure, a public servant shall provide no more information than is reasonably necessary to make the disclosure.

**Other obligations to report not affected**

**14** Nothing in this Act relating to the making of disclosures is to be construed as affecting any obligation of a public servant to disclose, report or otherwise give notice of any matter pursuant to any other Act.

**PART IV**

**Investigations by Commissioner**

**DIVISION 1**

**Conduct of Investigations**

**Duty on disclosures**

**15(1)** If a disclosure is made to the Commissioner, the Commissioner shall:

- (a) take any steps the Commissioner considers appropriate to help resolve the matter within the government institution to which the disclosure relates;
- (b) refer the matter to the government institution to which the disclosure relates; or
- (c) subject to section 16, conduct an investigation in accordance with this Part.

(2) If the Commissioner refers a matter to a government institution pursuant to clause (1)(b), the Commissioner may request the government institution to notify the Commissioner, within any period that the Commissioner may specify, of the steps that the government institution has taken or proposes to take to deal with the matter.

**When investigation not required**

**16(1)** The Commissioner is not required to conduct an investigation or, if an investigation has been initiated, may cease the investigation if, in the opinion of the Commissioner:

- (a) the subject-matter of the disclosure could more appropriately be dealt with according to a procedure provided for pursuant to another Act;
- (b) the disclosure is frivolous or vexatious, has not been made in good faith or concerns a trivial matter;
- (c) too great a period has elapsed between the date when the subject-matter of the disclosure arose and the date when the disclosure was made so that an investigation would not serve a useful purpose;
- (d) the disclosure relates to a matter that results from a balanced and informed decision-making process on a public policy or operational issue;

- (e) the disclosure does not provide adequate information about the wrongdoing as required by section 11;
  - (f) the disclosure relates to a matter that could more appropriately be dealt with according to the procedures under a collective agreement or employment agreement; or
  - (g) the circumstances of the case do not warrant investigation.
- (2) If the Commissioner decides not to investigate or to cease an investigation, the Commissioner:
- (a) shall inform the public servant who made the disclosure and the affected government institution; and
  - (b) may, if the Commissioner considers it to be appropriate to do so, provide reasons for the decision.

**Notice re decision involving investigation**

- 17(1) Before investigating the subject-matter of a disclosure, the Commissioner shall notify the permanent head of the affected government institution of the proposed investigation.
- (2) A notice pursuant to subsection (1) must:
- (a) be in writing; and
  - (b) set forth the nature of the disclosure received by the Commissioner and any other prescribed information.

**Conduct of investigations**

- 18(1) If the Commissioner considers it appropriate to do so, the Commissioner may conduct an investigation in an informal manner.
- (2) The Commissioner shall ensure that the right to procedural fairness and natural justice of all persons involved in an investigation is respected, including persons making disclosures, witnesses and persons alleged to have committed wrongdoings.
- (3) Sections 11, 14, 16, 22 and 23 of *The Ombudsman and Children's Advocate Act* apply, with any necessary modification, to the conduct of an investigation and to any decision of the Commissioner.

**Referral to Provincial Auditor**

- 19(1) If the Commissioner believes that a disclosure made to the Commissioner would be dealt with more appropriately by the Provincial Auditor, the Commissioner may refer the matter to the Provincial Auditor, and the Provincial Auditor may deal with the matter in accordance with *The Provincial Auditor Act*.
- (2) If a matter has been referred to the Provincial Auditor pursuant to subsection (1), the public servant who made the disclosure continues to have the protection from reprisals set out in section 36.

**Investigation of other wrongdoings**

**20** If, during an investigation, the Commissioner has reason to believe that another or additional wrongdoing has been committed, the Commissioner may investigate that wrongdoing in accordance with this Part.

**Report after investigation**

**21(1)** On completing an investigation, the Commissioner shall prepare a report that sets out:

- (a) the Commissioner's opinion and reasons for that opinion; and
- (b) any recommendations the Commissioner considers appropriate respecting the disclosure and the wrongdoing.

(2) If the Commissioner makes a recommendation pursuant to subsection (1), the Commissioner may request the government institution to notify the Commissioner, within any period that the Commissioner may specify, of the steps that the government institution has taken or proposes to take to give effect to the recommendations.

(3) The Commissioner shall provide a copy of the report mentioned in subsection (1) to the permanent head and designated officer of the affected government institution.

(4) The Commissioner shall notify the public servant who made the disclosure that a report pursuant to this section has been made and provide the public servant with any information respecting the report that the Commissioner considers appropriate in the circumstances.

**If subject-matter involves permanent head**

**22** If the subject-matter of a disclosure that is being investigated involves the permanent head of a government institution, the Commissioner shall also give a copy of the report mentioned in section 21 to:

- (a) the Deputy Minister to the Premier;
- (b) if the subject-matter of the disclosure involves the permanent head of a subsidiary Crown corporation as defined in *The Crown Corporations Act, 1993*, the chairperson of the subsidiary Crown corporation's board of directors; or
- (c) if the subject-matter of the disclosure involves the Deputy Minister to the Premier, the Premier.

DIVISION 2  
**Reports by Commissioner**

**Report by Commissioner**

**23(1)** In accordance with *The Tabling of Documents Act, 1991*, the Commissioner shall, in each year, submit to the Speaker an annual report that:

- (a) describes the progress and activities of the Commissioner in the previous year; and
- (b) sets out the following respecting the previous year:
  - (i) the number of disclosures received and the number acted on and not acted on;
  - (ii) the number of investigations commenced pursuant to this Act;
  - (iii) in the case of an investigation that results in a finding of wrongdoing, a description of the wrongdoing and recommendations or corrective actions taken in relation to the wrongdoing or the reasons why no corrective action was taken;
  - (iv) the number of recommendations the Commissioner has made and whether the government institution to which recommendations were made has acted on the recommendations;
  - (v) whether, in the opinion of the Commissioner, there are any systemic problems that give rise to wrongdoings;
  - (vi) any recommendations for improvement that the Commissioner considers appropriate;
  - (vii) any other matters that the Commissioner considers appropriate.

(2) In accordance with *The Tabling of Documents Act, 1991*, the Speaker shall lay before the Assembly each report received by the Speaker pursuant to subsection (1).

**Special report**

**24(1)** If the Commissioner considers that it is in the public interest to do so, the Commissioner may publish a special report relating to any matter that arises out of a disclosure and that is within the Commissioner's jurisdiction pursuant to this Act, including a report referring to and commenting on any particular matter investigated by the Commissioner.

(2) On making a special report, the Commissioner shall provide a copy of each special report to the Speaker.

(3) In accordance with *The Tabling of Documents Act, 1991*, the Speaker shall lay before the Assembly each special report received by the Speaker pursuant to subsection (2).

**Reports at request of committee or the Lieutenant Governor in Council**

**25(1)** A committee of the Assembly may, at any time, refer to the Commissioner for investigation and report any petition or matter that is before the committee for consideration.

(2) The Commissioner shall:

(a) subject to any special directions of the committee, investigate the petition or matter referred to the Commissioner so far as it is within the Commissioner's jurisdiction pursuant to this Act; and

(b) make any report to the committee that the Commissioner thinks fit.

(3) The Lieutenant Governor in Council may, at any time, refer to the Commissioner for investigation and report any matter that is within the Commissioner's jurisdiction pursuant to this Act.

(4) The Commissioner shall:

(a) subject to any special directions of the Lieutenant Governor in Council, investigate the matter referred to the Commissioner so far as it is within the Commissioner's jurisdiction pursuant to this Act; and

(b) make any report to the Lieutenant Governor in Council that the Commissioner thinks fit.

**DIVISION 3****Annual Report re Government Institutions****Annual report re government institutions**

**26(1)** In this section:

(a) "**ministry**" means the ministry over which the minister presides;

(b) "**reporting period**" means the period commencing on April 1 in one year and ending on March 31 of the following year.

(2) In accordance with *The Tabling of Documents Act, 1991*, the ministry shall prepare and submit to the minister a report on all disclosures that have been made in the previous reporting period to the designated officers of all government institutions.

(3) The report required pursuant to subsection (2) must include the following information with respect to government institutions:

(a) the number of disclosures received and the number acted on and not acted on;

(b) the number of investigations commenced as a result of a disclosure;

- (c) in the case of an investigation that results in a finding of wrongdoing:
  - (i) a description of the wrongdoing; and
  - (ii) either:
    - (A) any recommendations made or corrective actions taken in relation to the wrongdoing; or
    - (B) any reasons why no corrective action was taken in relation to the wrongdoing.
- (4) In accordance with *The Tabling of Documents Act, 1991*, the minister shall lay before the Legislative Assembly each report received by him or her pursuant to subsection (2).

## PART V Public Interest Disclosure Commissioner

### Appointment of Commissioner

- 27(1) The office of Public Interest Disclosure Commissioner is established.
- (2) The Public Interest Disclosure Commissioner is an officer of the Assembly.
- (3) The Commissioner shall be appointed by resolution of the Assembly.
- (4) The Assembly may, by resolution, appoint the Ombudsman as the Commissioner.
- (5) Section 69 of *The Legislative Assembly and Executive Council Act, 2007* applies to the Commissioner.
- (6) If the Ombudsman is appointed as the Commissioner:
  - (a) the Ombudsman is to hold office as Commissioner until the Ombudsman resigns, retires or is removed from office in accordance with *The Ombudsman and Children's Advocate Act*;
  - (b) the office of the Ombudsman is to serve as the office of the Commissioner; and
  - (c) sections 28 to 34 do not apply to the Ombudsman or the office of the Ombudsman.

### Term of office

- 28(1) Subject to sections 29 and 30, the Commissioner holds office for a term of not more than five years from the day of appointment and may be reappointed.
- (2) The Commissioner may resign the office at any time by giving written notice:
  - (a) to the Speaker; or
  - (b) if there is no Speaker or if the Speaker is absent from Saskatchewan, to the President of the Executive Council.

**Removal and suspension**

**29(1)** The Assembly may, by resolution, remove the Commissioner from office or suspend the Commissioner.

(2) If the Commissioner is suspended pursuant to subsection (1), the Assembly, by resolution, shall appoint an acting Commissioner to hold office until:

- (a) the suspension is revoked by the Assembly; or
- (b) the Commissioner is removed from office by the Assembly pursuant to subsection (1) and a person is appointed as Commissioner pursuant to section 27.

**Suspension where Legislature not in session**

**30(1)** If the Legislature is not in session, the Board of Internal Economy may suspend the Commissioner for incapacity to act, neglect of duty, or misconduct that is proved to the satisfaction of the Board of Internal Economy.

(2) No suspension imposed pursuant to subsection (1) continues past the end of the next session of the Legislature.

(3) If the office of the Commissioner is vacant or the Commissioner is suspended pursuant to subsection (1), the Board of Internal Economy shall appoint an acting Commissioner to hold office until:

- (a) a person is appointed as Commissioner pursuant to section 27;
- (b) the suspension is revoked by the Assembly; or
- (c) the Commissioner is removed from office by the Assembly pursuant to subsection 29(1) and a person is appointed as Commissioner pursuant to section 27.

**Acting Commissioner**

**31** If the Commissioner has resigned or is ill or otherwise unable to act, the Board of Internal Economy may appoint another person as acting Commissioner until:

- (a) the Commissioner is able to act; or
- (b) another Commissioner is appointed pursuant to this Act.

**Salary**

**32** The Commissioner is entitled to be paid:

- (a) a salary to be fixed by the Board of Internal Economy; and
- (b) an allowance for travelling and other expenses incurred in the performance of the duties of the Commissioner at a rate approved by the Board of Internal Economy.

**Application of certain Acts**

**33(1)** *The Public Service Act, 1998* does not apply to the Commissioner.

(2) *The Public Employees Pension Plan Act* applies to the Commissioner.

**Staff**

**34(1)** Subject to subsection (2), the Commissioner may, with the consent of the Speaker, use any employee of the Assembly as staff.

(2) Any officer of the Assembly may consent to act as staff for the Commissioner if, in the officer's opinion, to do so will not unduly interfere with the officer's duties to the Assembly.

**Non-compellability**

**35(1)** The Commissioner is neither competent nor compellable to:

(a) give evidence in any civil proceeding concerning any information that comes to the knowledge of the Commissioner in the exercise of the powers, performance of the duties or carrying out of the functions of the Commissioner pursuant to this Act; or

(b) produce any files, papers, information, reports, correspondence or other documents relating to the business or activities of the Commissioner.

(2) Subsection (1) applies, with any necessary modification, to the staff of the Commissioner.

**PART VI**  
**General Matters**

**Reprisals prohibited**

**36(1)** No person shall take or direct a reprisal against a public servant or former public servant because the public servant or former public servant has, in good faith:

(a) sought advice about making a disclosure from the designated officer or permanent head of the government institution in which he or she is employed or the Commissioner;

(b) made a disclosure;

(c) co-operated in an investigation pursuant to this Act; or

(d) declined to participate in a wrongdoing.

(2) If a public servant or former public servant alleges that a reprisal has been taken or directed against him or her, the public servant or former public servant may make a written complaint to the Commissioner respecting the matter.

(3) A complaint is to be in the prescribed form.

(4) If a complaint is made to the Commissioner pursuant to this section:

(a) the Commissioner shall deal with the complaint in the same manner as a disclosure made to the Commissioner; and

(b) Division 1 of Part IV applies, with any necessary modification, to the complaint.

**Offence – false statement**

**37** No person, in seeking advice about making a disclosure, in making a disclosure, or during an investigation, shall knowingly make a false or misleading statement, orally or in writing, to:

- (a) a designated officer;
- (b) a permanent head;
- (c) the Commissioner; or
- (d) a person acting on behalf of or under the direction of any of the persons mentioned in clauses (a) to (c).

**Offence – obstruction**

**38** No person shall wilfully obstruct a designated officer or permanent head, or the Commissioner, or any person acting on behalf of or under the direction of any of them, in the performance of their duties pursuant to this Act.

**Offence – destruction, falsification, concealment**

**39** No person, knowing that a document or thing is likely to be relevant to an investigation pursuant to this Act, shall:

- (a) destroy, mutilate or alter the document or thing;
- (b) falsify the document or make a false document;
- (c) conceal the document or thing; or
- (d) direct, counsel or cause in any manner a person to do anything mentioned in clauses (a) to (c).

**Offence and penalty**

**40** Every person who contravenes any of subsection 36(1) or sections 37 to 39 is guilty of an offence and liable on summary conviction to a fine of not more than \$10,000.

**Limitation on prosecution**

**41** No prosecution for a contravention of this Act is to be commenced more than two years after the day on which the alleged contravention was committed.

**Immunity**

**42** No action or proceeding lies or shall be commenced against the Crown, the minister, the Commissioner, the Office of the Commissioner or any other person if that person is acting pursuant to the authority of this Act or the regulations, for anything in good faith done, caused or permitted or authorized to be done, attempted to be done or omitted to be done by that person or by any of those persons pursuant to or in the exercise or supposed exercise of any power conferred by this Act or the regulations or in the carrying out or supposed carrying out of any order made pursuant to this Act or any duty imposed by this Act or the regulations.

**Proceedings of Commissioner not subject to review**

**43** No proceeding of the Commissioner is invalid for want of form and, except on the ground of lack of jurisdiction, no proceeding or decision of the Commissioner shall be challenged, reviewed, quashed or called into question in any court.

**Act to provide additional remedies**

**44** The provisions of this Act are in addition to the provisions of any other Act or rule of law pursuant to which any remedy, right of appeal or objection is provided for any person, or any procedure is provided for inquiry into or investigation of any matter, and nothing in this Act limits or affects any such remedy, right of appeal, objection or procedure.

**Regulations**

**45** The Lieutenant Governor in Council may make regulations:

- (a) defining, enlarging or restricting the meaning of any word or expression used in this Act but not defined in this Act;
- (b) for the purposes of subclause 2(1)(d)(ii), prescribing boards, commissions, Crown corporations or other bodies, or portions of them, to be government institutions;
- (c) for the purposes of subclause 2(1)(f)(ii), prescribing persons to be heads of government institutions;
- (d) for the purposes of subsection 6(2), prescribing procedures respecting managing disclosures by public servants, including prescribing the period within which reviews and investigations of disclosures must be completed and for reporting the outcomes of investigations;
- (e) for the purposes of section 11, prescribing a form for disclosures;
- (f) for the purposes of section 12, prescribing information that is subject to, governed by or described in all or any part of an Act or a regulation made pursuant to an Act as information that is confidential and respecting which no disclosure may be made;
- (g) for the purposes of clause 17(2)(b), prescribing information that the Commissioner must provide to the permanent head of the affected government institution;
- (h) prescribing any other matter or thing that is required or authorized by this Act to be prescribed in the regulations;
- (i) respecting any other matter or thing that the Lieutenant Governor in Council considers necessary to carry out the intent of this Act.

**PART VII**  
**Coming into Force**

**Coming into force**

**46** This Act comes into force on proclamation.